



The involvement of free radicals in the mechanism of β-Carotene Degradation

Raphael C. Mordi

Chemistry Department,
College of Science and Technology,
Covenant University,
Km 10, Idiroko Road, Ota, Ogun State.
raphael.mordi@covenantuniversity.edu.ng

Abstract: β-Carotene, a pro-vitamin A carotenoid, was reacted with oxygen in benzene as solvent, in the dark. The products of oxidation were characterised by HPLC with a UV photodiode detector and LC-MS, EI mode. The products identified were oxygencontaining compounds of the type epoxides, aldehydes and ketones. ESR study of retinyl- and ionyl-derivatives revealed that the secondary sites C7 and C9 were the most reactive towards radical generation. Both the results of product analysis and the ESR study enabled us to propose that the products of β-carotene autoxidation were obtained by a free radical mechanism involving initial formation of a biradical during the *trans*-to-*cis* isomerisation at the 15,15' bond and attack by oxygen.

Keywords: Carotene, Carotenoids, Apo-carotenoids, ESR, Degradation, Autoxidation, Free radicals.

Introduction

β-Carotene is a pro-vitamin carotenoid possessing half the activity of vitamin A. Because of its structural resemblance to vitamin A. it was thought that two molecules of vitamin A could be obtained by central cleavage from β-carotene (Karrer et al. [1]). However, attempts to obtain two molecules of vitamin A directly from B-carotene have, so far, failed. In the absence of antioxidants. the carotenoids are unstable to heat. light and air/oxygen. Peto et al., [2] suggested that dietary β-carotene function might as an anticarcinogenic agent. In both in vitro and in vivo studies β -carotene has been shown to act as both a prooxidant and an antioxidant, Packer et al. [3]; Krinsky and Deneke [4].

The question that has often arisen is whether it is β -carotene per se or its degradation products that responsible for its antioxidant activity? In addition, the mode of action whereby **β**-carotene degraded is largely unknown. It is the elucidation of this latter unknown aspect that is the objective of this paper through an examination of the products of β-carotene oxidation and an ESR study of reactive intermediates from retinvland

ionyl-derivatives. We propose the evidence as strongly favouring the involvement of free radicals in the degradation of β -carotene.

Materials and Method

β-Carotene, β-ionone, β-cyclocitral, retinyl acetate, cis-9-retinal, cis-13-retinal and β-apo-8'-carotenal were obtained from Sigma-Aldrich. The epoxy compounds were prepared as described by Barber et al. [5], and the other relevant compounds were prepared according to the literature methods described in Haag et al. [6],

Attenburrow et al. [7], Huisman et al. [8] and Haeck et al. [9]. The details of the experimental method for β -carotene oxidation can be found in Mordi et al. [10].

Results and Discussion

The products of β -carotene autoxidation identified by following the progress of reaction are listed in Figure 1. This list has been compiled from these references Mordi et al. [10]; Tang et al. [11]; Wang et al. [12], and Rodriguez and Rodriguez-Amaya [13].

2 1 6 7 9 11 13 15 14 12 10 8 5 3 3 3 4 5 8 10 12 14 15 11 7 6 1 2 2 all trans-
$$\beta$$
-carotene

Product name Structure

5,6-epoxy-β-carotene

5,6,5',6'-diepoxy-β-carotene

5,8-epoxy-β-carotene

5,8,5'8'-diepoxy-β-carotene

β-apo-12'-carotenal

β-apo-14'-carotenal

Retinal	
β-apo-13-carotenone	
β-ionylidene acetaldehyde	
β-ionone	
β-apo-10'-carotenal	X
5,6-epoxy-β-ionone	
β-cyclocitral	
Dihydroactinidiolide	
4-oxo-β-ionone	
2,2,6-trimethylcyclohexanone	¥°

Fig 1 Products of β-Carotene oxidation

It is suggested that free radicals are involved in the oxidation, since the conjugated extended system of bonds makes \(\beta\)-carotene double radical susceptible attack. to Evidence for the involvement of free radicals in the oxidation of βcarotene is that the oxidation is accelerated by radical initiators (AIBN) and inhibited by radical chain-breaking antioxidants and α -tocopherol. So how do these products arise? Zechmeister et al. [14] and El-Tinay and Chichester [15], suggested that the central double bond of β-carotene is less susceptible to oxidative attack than the terminal double bonds, which are the sites of greatest electron density. Glover [16], suggested that the degradation of β-carotene proceeded by initial attack at one end of the molecule, forming a series of apocarotenoids by the removal of two carbon units. The initial evidence for these carotenoids was presented by Sharma et al. [17]. Mohamed et al. [18] and Doering and Sarma [19] recently confirmed that the terminal double bonds were the preferred sites of attack as there is a greater loss of stabilisation energy the radical formed as we move away from the of the polyene chain centre

compared to the loss towards the centre.

In our ESR study of retinyl- and ionyl-derivatives and spin trapping of the radicals generated from them, we were able to show that C7 and C9 for ionyl-derivatives and C7, C9 and C11 and probably C15 for retinylderivatives were the most reactive sites, Mordi and Walton [20]. Apart from C15, which is a primary site, these sites are secondary. The radical generated will, of course, delocalised over several carbon atoms and the terminal carbons will be the most sterically exposed and so more susceptible to attack. By extension therefore, it is suggested that these sites would be vulnerable to attack on the conjugated system of β-carotene.

A study of self-initiated autoxidation of retinal showed that retinal is resistant to oxidation compared to β -carotene, Mordi et al. [10]. ESR study of retinal failed to give any ESR signal. Retinyl derivatives gave only a broad and weak ESR spectrum, Mordi and Walton [20]. Could this be an indication that there is a predetermined chain length to allow for isomerisation before biradical formation?

The lack of reactivity of retinol could be attributed to the compound's inability to undergo the initial *cistrans* isomerisation at the C15 carbon atom and the presence of the hydroxyl group preventing the initial epoxide formation at C15. The observed products after prolonged reaction time could be due to end-

chain peroxyl attack on retinal. Although retinal is known undergo cis-trans isomerisation at C11 for vision, if this happened in reaction the oxidation system. the suggested biradical through mode, the biradical would not be as strongly stabilised (delocalised) as the biradical derived from β-carotene and therefore more energy would be needed to bring about its formation. It is thought that the mechanism of autoxidation begins by an initial trans-cis isomerisation at 15.15' central double bond. Mordi et al. [10] and Doering and Sarma [19]. The original idea of the involvement of cis isomer was put forward by Glover [16] and recent studies have shown that cis isomers are truly involved in β-carotene autoxidation and degradation. We showed that there were other isomers of β carotene in the product mixture during oxidation but were unable to properly identify which isomers. Other workers have been able to identify these isomers as 9-cis and 13-cis-β-carotene, Mohamed et al. [18], Penicaud et al. [21], Achir et al. [22], Marx et al. [23] and Henry et al. [24]. This trans-cis isomerisation implies the formation of a biradical intermediate, Mordi et al. [10].

The likelihood of involvement of free radicals is enhanced by the fact that the products of β -carotene oxidation by molecular oxygen are similar to those obtained by singlet oxygen oxidation. The similarity of products in these reactions has led to the suggestion that a singlet biradical

of β -carotene is formed in the *cis* configuration, which is stabilised by extensive delocalisation of the two unpaired electrons. The biradical is

then attacked by oxygen on either side of the *cis* bond leading to the formation of carotenyl peroxyl triplet biradical, Scheme 1 and Scheme 2.

Scheme 1. Cis-trans isomerisation of all-trans-β-carotene prior to formation of the biradical, (Mordi et al. [10]; Mordi [25], and Penicaud et al. [21].)

all
$$trans$$
- β -carotene

15,15- cis - β -carotene

biradical

 O_2 attack at C15

 O_3 terminal attack

dioxetanes

 R , S_Hi

apo-carotenoids

Scheme 2. Steps in the formation of oxidation products, (Mordi et al. [10], Mordi [25] and Penicaud et al. [21].)

These peroxyl triplet radicals are sufficiently long-lived to act as initiators of autoxidation.

Following homolytic intramolecular substitution, S_Hi, (Mayo [26]; Mayo and Miller [27]; Porter et al. [28], and Porter and Zuraw, [29]), the peroxyl biradicals will collapse most probably by hydrogen abstraction to give hydroperoxides, thereby allowing the epoxides to appear as products whereas attack by oxygen at any position of the biradical would give the apo-carotenoids. monitoring the progress of reaction, it was shown that the

carotenoids begin to appear at the same time, Mordi et al. [10].

By following the progress of reaction we have shown that all the double bond positions are vulnerable to attack by oxygen, but the initial site of attack appears to be at the terminal and central double bonds, as 5,6-epoxy, 5,6,5',6'-diepoxy and 15,15'-epoxy carotenes were identified at the initial stages of reaction. The attack at 15,15' double bond would probably lead formation of dioxetane, which eventually collapses to the apocarotenoids detected, whereas attack

at the terminal double bonds would lead to the epoxides. The apocarotenoids were also identified. again suggesting the vulnerability of all the double bond positions (Mordi, [25]). These cleavage products have been identified by other workers and the formation of in-chain epoxides has been suggested as precursors to apo-carotenoids (Rodriguez and Rodriguez-Amaya [13]: Hiranvarachat et al. [30]; Caris-Veyrat et al. [31]). The formation of 5,8-epoxy- and 5,8,5',8'-diepoxy-βcarotene has been linked to the acid catalysed isomerisation of the 5,6epoxy equivalents in food ripening (Rodriguez and Rodrigues-Amaya [13]) . We also suggested that these furanoids are formed directly from the peroxyl radicals.

Conclusion

We can conclude that β -carotene is degraded by initial *cis-trans* isomerisation, followed by the

References

- Karrer, P., Morf, R. and Schopp, K. (1931) Zur kenntnis des vitamins-A aus fischtranen II, Helv Chim Acta, **14**, 1431 1436
- Peto, R., Doll, R., Buckley, J. D. and Sporn, M. B. (1981) Can dietary beta carotene materially reduce human cancer rates? Nature, **290**, 201 208
- Packer, J. E., Mahood, J. S., Mora-Arellano, V. O., Slater, T. F., Wilson, R. L. and Wolfenden, B. S. (1981) Free radicals and singlet oxygen scavengers:

formation of a singlet biradical. The biradical is then attacked by oxygen to produce peroxyl radicals at the double bonds. The peroxyl radicals at the terminal double bonds are transformed to epoxides and furanoids and along the chain are transformed to apo-carotenoids.

Acknowledgements: I am deeply grateful to Professor John Walton, University of St. Andrews, Scotland for the opportunity he gave me, his continued support and encouragement. I also gratefully acknowledge the support of Agriculture and Food Research Council; Royal Society; Association of International Cancer Research; National Foundation for Cancer Research, and NATO.

- Reaction of a peroxyl-radical with β -carotene, diphenyl furan and 1,4-diazobicyclo(2,2,2)-octane, Biochem Biophys Res. Commun, **98**, 901 906
- Krinsky, N. I. and Deneke, S. M. (1982) Interaction of oxygen and oxy-radicals with carotenoids, J Natl Cancer Inst, **69**, 205 210
- Barber, M. S., Davies, J. B., Jackman, L. M. and Weedon, B. C. L. (1960) Studies in nuclear magnetic resonance. Part I. Methyl groups of carotenoids

- and related compounds, J Chem Soc, 2870 2881
- Haag, A., Eschenmoser, W. and Eugster, C. H. (1980) Synthesis von (-)-(R)-4-Hydroxy- \Box -ionon und (-)-(SR,SS)-5-Hydroxy-4,5-dihydroxy-β-ionon aus (-)-(S)- \Box B-ionon, Helv Chim Acta, **63**, 10 − 15
- Attenburrow, J., Cameron, A. F. P., Chapman, J. H., Evans, R. M., Hems, B. A., Jansen, A. B. A. and Walker, T. W. (1952) A synthesis of vitamin A from cyclohexanone, J Chem Soc, 1094 – 1111
- Huisman, H. O., Smit, A., van Leewan, P. H. and van Rij, J. H. (1956) Investigations in the vitamin A series. III. Rearrangement of the retrosystem to the normal system of conjugated double bonds in the vitamin A series, Recl Trav Chim Pays-Bas, **75**, 977 – 1006
- Haeck, H. H, Kralt, T. and van Leewan, P. H. (1966) Synthesis of carotenoidal compounds. I. Preparation of some substituted Polynesia with crossconjugation, Recl Trav Chim Pays-Bas, **85**, 334 – 338
- Mordi, R. C., Walton, J. C., Burton, G. W., Hughes, L., Ingold, K. U., Lindsay, D. A. and Moffatt, D. J. (1993) Oxidative Degradation of β-Carotene and β-Apo-8'-Carotenal,
 - Tetrahedron, **49** (4), 911 928
- Tang, G., Wang, X-D., Russell, R. M. and Krinsky, N. I. (1991) Characterisation of β-Apo-13-

- carotenone and β -Apo-14'-carotenal as enzymatic products of the excentric cleavage of \square -carotene, Biochemistry, 30, 9829 9834
- Wang, X-D., Tang, G., Fox, J. G., Krinsky, N. I. and Russell, R. M. (1991) Enzymatic conversion of β-carotene into β-apo-carotenals and retinoids by human, monkey, ferret and rat tissues, Arch Biochem Biophys, 285, 8 16
- Rodriguez, E. B. and Rodriguez-Amaya, D. B. (2007) Formation of apo carotenals and epoxy carotenoids from β -carotene by chemical reactions and by autoxidation in model systems and processed foods, Food Chem, **101**, 563 572
- Zechmeister, L., Le Rosen, A. L., Schoeder, W. A., Polhar, A. and Pauling, L. (1943) Spectral characteristics and configuration of some stereoisomeric carotenoids including prolycopene and pro-β-carotene, J Am Chem Soc, **65**, 1940 1951
- El-Tinay, A. H. and Chichester, C. O. (1970) Oxidation of β-carotene. Site of initial attack, J Org Chem, **35**, 2290 2293
- Glover, J. (1960) The conversion of β -carotene to vitamin A, Vitam Horm, **18**, 371 386
- Sharma, R. V, Mathura, S. N., Dmitrovskii, A. A., Das, R. S. and Ganguly, J. (1976) Studies on the metabolism of β-carotene and apo-β-carotenoids in rats

- and chickens, Biochem Biophys Acta, **486**, 183 94
- Mohamed, N., Hashim, R., Rahman, N. A. and Zain, S. M. (2001) An insight into the cleavage of β -carotene to vitamin A: a molecular mechanics study, J Mol Struct, **538**, 245 252
- Doering, W. von E. and Sarma, K. (1992) Stabilisation energy of polyethylene radicals: all *trans*-nonatetraenyl radical by thermal rearrangement of a semirigid {4-1-2}heptane. Model of thermal stability of β-carotene, J Am Chem Soc, **114**, 6037 6043
- Mordi, R. C. and Walton, J. C. (1990) Electron spin resonance study of free radicals generated from retinyl- and ionylderivatives, Chem Phys Lipids, 54, 73 78
- Penicaud, C., Achir, N., Dhuique-Mayer, C., Dornier, M. and Bohuon, P. (2011) Degradation of β-carotene during fruit and vegetable processing or storage: reaction mechanisms and kinetic aspects: a review, Fruits, **66** (6), 417 440
- Achir, N., Penicaud, C., Avallone, S. and Bohuon, P. (2011) Insight into β-carotene thermal degradation in oils with multipurpose modelling, J Am Oil Chem Soc, **88** (12), 2035 2045
- Marx, M., Stuparic, M., Schieber, A. and Carle, R. (2003) Effect of thermal processing on *trans-cis*-isomerisation of β-carotene in

- carrot juices and carotenecontaining preparations, Food Chem, **83**, 609 – 617
- Henry, L. K., Catignani, G. and Schwartz, S. (1998) Oxidative degradation kinetics of lycopene, lutein and 9-cis and all-trans-β-carotene, J Am Oil Chem, Soc., **75**, 823 829
- Mordi, R. C. (1993) Carotenoids: Functions and Degradation, Chem Ind, 79 – 83
- Mayo, F. R. (1958) The oxidation of unsaturated compounds V. The effect of oxygen pressure on the oxidation of styrene, J Am Chem Soc, **80**, 2465 2480
- Mayo, F. R. and Miller, A. A. (1958) The oxidation of unsaturated compounds VI. The effect of oxygen pressure on the oxidation of β -methylstyrene, J Am Chem Soc, **80**, 2480 – 2493
- Porter, N. A., Cudd, M. A., Miller, R. W. and McPhail, A. T. (1980) A fixed geometry study of the $S_{\rm H}2$ reaction on the peroxide bond, J Am Chem Soc, 102, 414-416
- Porter, N. A. and Zuraw, P. J. (1984) Stereochemistry of hydroperoxide cyclisation reactions, J Org Chem, **49**, 1345 – 1348
- Hiranvarachat, B., Suvarnakuta, P. and Devahastin, S. (2008) Isomerisation kinetics and autoxidant activities of β-carotene in carrots undergoing different drying techniques and conditions, Food Chem, **107**, 1538 1546

Caris-Veyrat, C., Arniot, M. J., Ramasseul, R. and Marchon, J. C. (2001) Mild oxidative cleavage of β-carotene by dioxygen induced by a

ruthenium porphyrin catalyst: characterisation of products and some possible intermediates, New J Chem, **25**, 203 – 206