

# Unveiling future superconductors through machine learning

Zihao Bai<sup>a,b,c,d</sup>, Mangladeep Bhullar<sup>a</sup>, Akinwumi Akinpelu<sup>a</sup>, Yansun Yao<sup>a,\*</sup>

<sup>a</sup> Department of Physics and Engineering Physics, University of Saskatchewan, Saskatoon, Saskatchewan, S7N 5E2, Canada

<sup>b</sup> Theoretical Chemistry Institute and Department of Chemistry, University of Wisconsin–Madison, Madison, WI, 53706, United States

<sup>c</sup> Center for Theoretical Physics and School of Physics, Jilin University, Changchun, 130012, China

<sup>d</sup> Department of Materials Science and Engineering, University of Wisconsin–Madison, Madison, WI, 53706, United States

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## ABSTRACT

The recent discovery of superconductivity above 200 K in hydrides of sulfur and lanthanum under high pressure marked a significant advance toward the realization of room-temperature superconductivity. While binary hydrides have almost been completely studied theoretically, experimental evidence suggests that the next breakthrough in finding high-temperature and low-pressure limits is likely connected with ternary and higher hydrides. Unlike the traditional synthesis-test-repeat approach, experimental discovery of superhydrides under high pressure often follows *prior* theoretical predictions. In this Minireview, we describe how various artificial intelligence schemes enable and enrich each stage of the discovery cycle of superhydrides and new developments made toward predicting ternary and higher hydrides. As a new enabling tool, machine learning-informed material simulation is still making its way into this field but is already playing an essential role in augmenting the prediction of new superhydrides through automated and iterative machine-learning processes. The review concludes with a perspective on outstanding challenges and possible future developments in the field.

## 1. Introduction

Superconductivity under ambient conditions has been one of the most sought-after discoveries in physical sciences [1]. Materials exhibiting this quantum phenomenon have zero DC electrical resistivity below a critical temperature  $T_c$ , *i.e.*, in the superconducting state. Superconducting materials are also perfect diamagnets ( $\chi = -1$ ) in the Meissner state, where they expulse magnetic fields when subjected to a temperature below  $T_c$ . These exceptional properties find superconductors practical applications in industrial and scientific sectors, *i.e.*, powerful electromagnets, lossless electrical transmission, and sensitive magnetometers. However, the requirement for low temperatures is a significant challenge for the widespread application of superconductors. Currently, the highest  $T_c$  discovered in superconductors at atmospheric pressure is only about 138 K, held by cuprate ceramics of mercury (Hg), barium (Ba) and calcium (Ca) [2]. On the other hand, achieving superconductivity with even higher  $T_c$  is possible under extreme pressures. One such group of candidates is hydrogen-rich superhydrides, especially lanthanum hydride (LaH<sub>10</sub>), which has a measured  $T_c$  of about 250 K under 170 GPa pressure [3,4]. Hydrogen sulfide (H<sub>3</sub>S) also exhibits superconductivity at 203 K under 150 GPa pressure [5,6], and clathrate

hydride CaH<sub>6</sub> superconducts at a  $T_c$  of 215 K under 172 GPa pressure [7]. The superconducting hydrides were not accidentally discovered; the synthesis followed theoretical predictions [8–10]. This signifies a paradigm shift in the history of superconductors as theoretical prediction now guides experiments toward new superconductors. With extensive R&D, it is possible to realize hydrogen-rich superconductors operating under temperature and pressure close to ambient conditions.

To synthesize high- $T_c$  superconductors under pressure, miniature-sized samples must be packed in diamond anvil cells (DAC). After the synthesis, characterizing electrical resistivity and the Meissner effect in samples under DAC conditions requires highly specialized techniques. This technically challenging procedure dictates that the standard synthesis-test-repeat cycles for material discovery do not apply to high-pressure superconductors. Thus, experimental investigations of high- $T_c$  superhydrides have been only focused on a handful of candidates. To accelerate the discovery, theoretical predictions are actively employed to perform computational materials searches under extreme pressure for new superconducting materials. Using efficient global optimization algorithms [11–15], crystal structure search can be carried forward for superhydrides corresponding to any given stoichiometry from scratch (without the need for experimental input). Once candidate materials are

\* Corresponding author.

E-mail address: [yansun.yao@usask.ca](mailto:yansun.yao@usask.ca) (Y. Yao).

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identified, density functional theory for superconductors [16] or Eliashberg theory of superconductivity [17] are often employed to estimate the strength of electron-phonon coupling (*epc*), which is the essential characteristic of the conventional superconductivity per the BCS theory [18,19].

Theoretical and experimental evidence suggests that finding superconductivity at higher temperatures and lower pressures is likely connected with ternary or higher hydrides [20]. However, predicting crystal structures of ternary hydrides could be lengthy if they are entirely based on *ab initio* calculations. The complexity of the ternary system means a much larger atom count in the unit cell and a significant increase in the number of landscape valleys in the phase space. Meanwhile, the stoichiometry number for ternary systems is significantly higher than for the binary systems. An estimate suggests there are less than 300 stable binary hydrides at any pressure, but the number of ternary hydrides will exceed 1700 [21]. Consequently, an exhaustive *ab initio* exploration of ternary hydrides would be much more challenging. Thus, there is a demand for developing material prediction schemes with comparable accuracy to *ab initio* methods while simultaneously being computationally efficient. Theoretical approaches that can balance accuracy and efficiency are desirable for the computational discovery of new superconductors.

Over the past few years, artificial intelligence has made its way into material science and has proven to be a successful tool for exploring hydrogen-rich superconductors. Machine learning (ML), a universal fitting tool without priori physics formulae, has significant roles to play in superconductor research. The three focused utilities of ML in superconductor discovery are: (i) reparameterizing the semi-empirical superconductivity equation for a better estimate of  $T_c$  in conventional superconductors, (ii) accelerating crystal structure search in potential superconductor space using ML interatomic potentials, and (iii) screening candidate superconductors from existing material database or during high-throughput calculation using efficient  $T_c$  and *epc* descriptors. The existing ML efforts in the workflow of new superconductor discovery are depicted in Fig. 1. This brief review summarizes a selection of studies that used ML to investigate hydride superconductors. We do not attempt to present an exhaustive review but only hope to give the reader a survey of studies that represent the recent efforts in this area and what can be achieved with this new method. The milestone activities reviewed in this paper are listed in Table 1.

## 2. Machine learning accelerated superconductor prediction

### 2.1. Reparameterizing the McMillan equations

High-pressure experiments [5] suggest that superconducting superhydrides are conventional or BCS superconductors described by the *epc* mechanism. The estimate of  $T_c$  is derived from the BCS theory [18,19],

which incorporates Debye temperature  $\Theta_D$ , electronic density of states (DOS) at the Fermi level  $N(E_f)$  and electron-phonon attractive interaction  $V$ ,

$$T_c = 0.85\Theta_D \exp\left[-\frac{1}{N(E_f)V}\right]. \quad (1)$$

Hydrogen-rich systems possess high Debye temperature  $\Theta_D$  and phonon frequencies primarily due to the low mass of the proton. The Migdal-Eliashberg theory [17] extends the BCS theory to the strong coupling regime, relating the microscopic superconducting theory to the observed  $T_c$  in real materials. The Eliashberg spectral function  $\alpha^2F(\omega)$  describes the superconducting state in this formalism. The strength of attractive interaction is characterized by the *epc* parameter  $\lambda$ , a weighted average of  $\alpha^2F(\omega)$  over the entire vibrational region. The  $\alpha^2F(\omega)$  can be obtained for specific materials by tunnelling experiments or density functional perturbation theory [33] calculations. The superconducting gap  $\Delta_0$  and  $T_c$  are obtained in a complete solution of the Eliashberg equation [34]. The Eliashberg equation involves a nonlinear system and must be solved self-consistently [35,36], which is computationally demanding. It is, therefore, desirable to derive an explicit formula for  $T_c$  from approximately fitting the experimental results. A commonly used formula is the McMillan equation [37],

$$T_c = \frac{\omega_D}{1.45} \exp\left(-\frac{1.04(1+\lambda)}{\lambda - \mu^*(1+0.62\lambda)}\right), \quad (2)$$

where  $\omega_D$  represents the Debye frequency, and  $\mu^*$  is the Coulomb pseudopotential. The average *epc* in the system is represented by  $\lambda$ , which is a weighted average of  $\alpha^2F(\omega)$  over the entire vibrational range,

$$\lambda = 2 \int_0^\infty \frac{\alpha^2F(\omega)}{\omega} d\omega. \quad (3)$$

The McMillan equation is inaccurate for strong coupling superconductors ( $\lambda > 1$ ). A modification of the McMillan equation to the large  $\lambda$  regime was made by Allen and Dynes [38],

$$T_c = \frac{f_1 f_2 \omega_{\log}}{1.20} \exp\left(-\frac{1.04(1+\lambda)}{\lambda - \mu^*(1+0.62\lambda)}\right), \quad (4)$$

where  $f_1 = \left(1 + \left(\frac{\lambda}{2.46(1+3.8\mu^*)}\right)^{\frac{2}{3}}\right)^{\frac{1}{3}}$  and  $f_2 = \left(1 + \frac{\lambda^2(\bar{\omega}_2/\omega_{\log}-1)}{\lambda^2 + [1.82(1+6.3\mu^*)(\bar{\omega}_2/\omega_{\log})]^2}\right)^{\frac{1}{2}}$ .

In these formulas,  $\bar{\omega}_2$  and  $\omega_{\log}$  are the weighted root mean square and logarithmic average frequencies. The Allen and Dynes modified McMillan equation was fitted based on 217 numerical solutions of the Eliashberg equations to three types of  $\alpha^2F(\omega)$  shapes, those of Pb and Hg, and that of a single Einstein model. The limited function set dictates that this equation would not work well for superconductors whose  $\lambda$  is

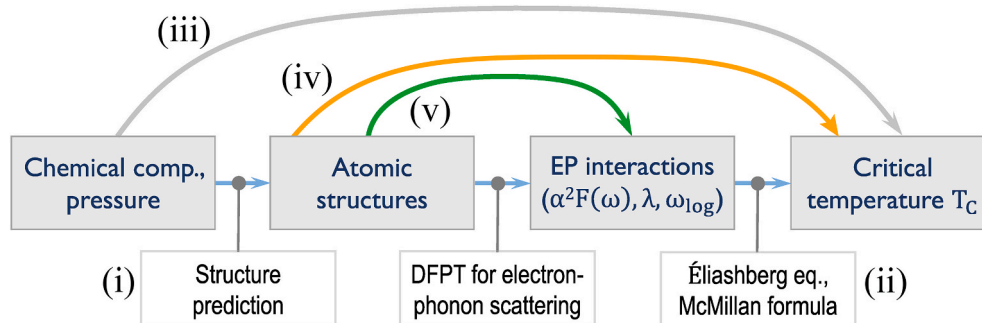


Fig. 1. A typical workflow to calculate the  $T_c$  of a material [22]. Existing ML efforts assist in four lines to accelerate the workflow: (i) using ML potentials to accelerate CSP, (ii) generating formulas between  $T_c$  and *epc* parameters, (iii) predicting  $T_c$  from descriptors calculated before CSP, (iv) predicting  $T_c$  from descriptors calculated after CSP, and (v) predicting *epc* parameters from descriptors calculated after CSP. Reprinted (figure) with permission from Ref. [22] and modified, Copyright (2023) by the American Physical Society.

**Table 1**

Milestone activities from 2018 to 2023 reviewed in this paper. The types of activities correspond to the five types (i to v) in Fig. 1.

Year	Work	Type	Ref	New superhydrides
2018	Neural network model assisting in researching $T_c$ distribution of superhydrides	iii	[23]	–
2019	Evolutionary algorithm (EA) based material informatics approach to discover new superhydrides	iii	[24]	KScH <sub>12</sub> (122 K 300 GPa), GaAsH <sub>6</sub> (98 K 180 GPa)
2020	Neural network model that simultaneously searches for superhydrides with high $T_c$ and low operational pressure	iii	[25]	RbH <sub>12</sub> (115 K, 50 GPa)
2021	New electron structure descriptor developed: networking value	iv	[26]	–
2021	High throughput superhydride calculation with a Gaussian process regression model combined with Gaspari-Gyorffy $epc$ estimate	iv	[27]	NaH <sub>6</sub> (248–279 K 100 GPa)
2022	Predicting $epc$ parameters and $T_c$ from structural information by the atomistic line graph neural network (ALIGNN) and classical force-field descriptor (CFID)	iv, v	[28]	–
2022	Correcting the Allen-Dynes formula for large $\lambda$ and multimodal $\alpha^2F(\omega)$	ii	[29]	–
2022	Employing structural descriptors based on the smooth overlap of atomic positions (SOAP) to train a $T_c$ model using a dataset comprising 5713 superconductors (larger scope than superhydrides)	iv	[30]	–
2023	Combining highly accurate MLPs with SSCHA techniques to achieve careful convergence and acceleration in SSCHA calculations	i	[31]	–
2023	Using ephemeral data-derived potentials (EDDP) accelerated CSP to search for ambient superconductivity of Lu-N-H	i	[32]	Confirmed that no room-temperature conventional superconductivity in Lu-N-H
2023	Gaussian process regression model to predict $epc$ parameters from atomic structures under pressure	v	[22]	CrH (15.7 K, 0 GPa), CrH <sub>2</sub> (10.7 K, 0 GPa)

significantly deviating from 1.6 (the  $\lambda$  for Hg and Pb) and those whose  $\alpha^2F(\omega)$  shapes differ considerably from the unimodal Einstein model.

A very recent development of correcting the Allen-Dynes formula for strong coupling was formulated by Xie et al. [29]. The authors suggest that the Allen-Dynes formula primarily applies to situations where  $\lambda$  is approximately 1.6, and the  $\bar{\omega}_2/\omega_{\log}$  ratio is close to 1. The latter is taken as a shape indicator, *i.e.*, ‘1’ corresponding to  $\alpha^2F(\omega)$  of the unimodal Einstein model. Superconducting superhydrides have significantly larger  $\lambda$  and multimodal  $\alpha^2F(\omega)$  – they contribute to systematic errors when applying the Allen-Dynes formula to estimate their  $T_c$ 's. Xie et al. constructed the training dataset consisting of  $\alpha^2F(\omega)$  of real materials and randomly generated  $\alpha^2F(\omega)$  with three random Gaussian peaks. The latter is inexpensive to generate, and the arbitrarily trained multimodal data are more realistic than the unimodal model. They also included the data on superhydrides in the testing set. The authors trained a symbolic regression (SISSO framework) ML model using these datasets. This model exhibits an enhanced accuracy for high- $T_c$  superhydrides while maintaining the same level of performance for the low- $T_c$  superconductors as the Allen-Dynes formula. The ML model is used to reparameterize the McMillian equation, yielding a formula that closely resembles the Allen-Dynes formula,

$$T_c^{ML} = \frac{f_{\omega} f_{\mu} \omega_{\log}}{1.20} \exp\left(-\frac{1.04(1+\lambda)}{\lambda - \mu^*(1+0.62\lambda)}\right), \quad (5)$$

$$\text{with } f_{\omega} = 1.92 \left( \frac{\lambda + \omega_{\log} \sqrt{\omega_2 - \sqrt{\mu^*}}}{\sqrt{\lambda \exp(\omega_{\log}/\omega_2)}} \right) - 0.08, \text{ and } f_{\mu} = \frac{6.86 \exp(-\lambda/\mu^*)}{1/\lambda - \mu^* - \omega_{\log}/\omega_2} + 1.$$

Moreover, Xie et al. trained two additional models: a random forest (RF) model and an artificial neural network (ANN) model. Compared to Symbolic Regression and ANN, the RF model exhibits the lowest root-mean-square error (RMSE) in the training and testing datasets. Yet, the RF model experiences two notable problems. Firstly, its output encounters discontinuities as the value of  $\lambda$  increases due to its piecewise-constant form. Secondly, it cannot extrapolate points beyond those in the training dataset. On the other hand, ANN performs quite similarly to the Symbolic Regression, yet it has a greater model complexity. Symbolic Regression stands out for its simplicity and invertibility, which allows extracting  $\lambda$  from experimentally measured  $T_c$  and  $\alpha^2F(\omega)$ . However, it should be noted that  $\alpha^2F(\omega)$  is very expensive to calculate since the calculation of  $epc$  matrices is based on DFPT [33], which led to the development of descriptors for  $ep$  superconductivity based on electronic quantities such as DOS, electron configuration and bonding relevant quantities. Similarly, quantities related to the atoms and structures, such as hydrogen concentration, space group, mass per atom, etc., can also be used. The  $ep$  descriptors play a crucial role in the high-throughput workflow of screening materials, facilitating the discovery of new superconductors. Several studies have achieved notable

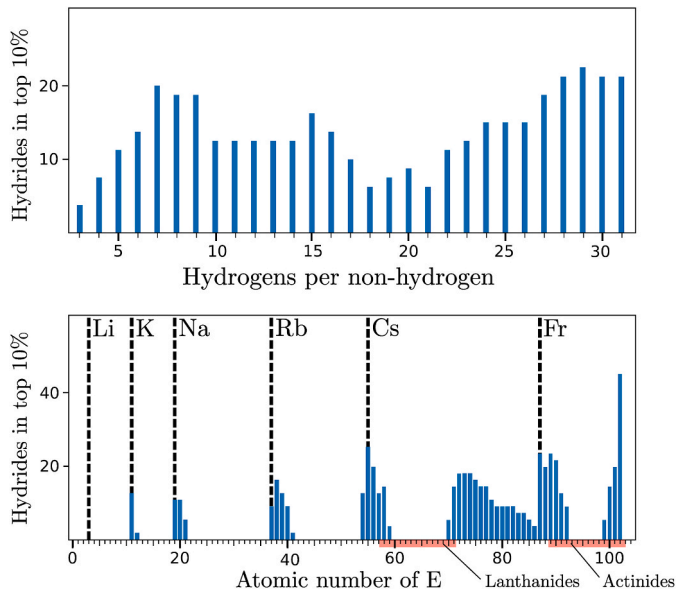
success in devising workable descriptors of  $T_c$ , which are used to screen existing material databases for new superconductors [22,39,40].

## 2.2. Models aid in predicting new superhydrides

In 2019, Ishikawa et al. developed an evolutionary algorithm (EA) based material informatics approach to discover new superhydrides [24]. After the database collection, the authors employed genetic programming (GP) to train a predictor for  $T_c$  and predicted potential candidates for high  $T_c$  superhydrides by regression analysis. The crystal structures of the candidate materials are predicted using a genetic algorithm (GA). After the first principles-based functionality validation, the predicted structures are selected to augment the datasets. By repeating these procedures, both the database and the predictor are improved iteratively. The authors employed five quantities for the  $T_c$  predictors, including hydrogen concentration, space group, mass per atom, and effective screened Coulomb repulsion constant (the same as the one used in the Allen-Dynes formula).

An interesting concept in this work is employing the predictors trained on binary superhydrides to predict ternary superhydrides. A dataset for hypothetical ternary hydrides was generated by replacing some heavy elements in binary hydrides with their neighboring elements in the periodic table, *i.e.*, elements with  $Z-1$  or  $Z+1$ . For instance, H<sub>3</sub>S is used to generate H<sub>6</sub>PdCl, and LaH<sub>10</sub> is used to create BaCeH<sub>20</sub>. All other parameters apart from the atomic masses match the original binary hydrides. A GA structure search is then performed to identify the targeted ternary hydrides' crystal structures. Using this approach, the authors predicted two ternary superhydrides, a KScH<sub>12</sub> with an estimated  $T_c$  of 122 K at 300 GPa and GaAsH<sub>6</sub> with a  $T_c$  of 98 K at 180 GPa. The outcomes of this study show that, qualitatively, regression analysis combined with GP predictor is practical in predicting ternary superhydrides. Even though some regression analyses based on the GP predictor exhibit some errors, this work successfully transforms GP techniques into developing  $T_c$  predictors. It renders predictors based on simple quantities rather than expensive  $\alpha^2F(\omega)$ . As noted by the authors, GP models perform well as predictors for a small number of datasets and parameters, as shown in this work. Although GP models may have a lower accuracy than ANN models, they can generate/output symbolic formulas and offer good interpretability if the formula is not overly complicated. It isn't easy to interpret ANN models meaningfully for their black box features. The lower accuracy of GP models may be compensated by the cycle of the materials informatics workflow.

In 2020, Hutcheon et al. trained a neural network model that simultaneously searches for superhydrides with high  $T_c$  and low operational pressure [25]. The authors trained the model using atomic and electronic descriptors for binary hydrides: hydrogen content ( $n$ ), van der



**Fig. 2.** The top 10% of binary hydrides ( $EH_n$ ) predicted to be superconducting closest to ambient conditions (atmospheric pressure and room temperature). Upper and Bottom: The distribution of hydrogen number  $n$  and atomic number of nonhydrogen element  $E$  in this group of hydrides. Dashed lines indicate the positions of alkali metals. Reprinted (figure) with permission from Ref. [25], Copyright (2020) by the American Physical Society.

Waal radius, atomic and mass numbers, and electronic configuration of the non-hydrogen element. The training dataset includes computational and experimental data of various binary hydrides in the literature. This model was applied to predict the  $T_c$  and operational pressure of the binary hydrides ( $n = 1-32$ ) of all possible elements in the Periodic Table. The results are interesting: hydrides of heavy alkali and alkaline earth metals are the most promising candidates for attaining superconductivity at the ambient-condition limit (Fig. 2, lower panel). The distribution of hydrogen content within the close-to-ambient-condition superhydrides is relatively uniform, suggesting that different stoichiometries should be considered for each binary hydride (Fig. 2, upper panel). The authors then focused on Cs and Rb binaries, which have not been studied as extensively as other hydrides in the first two groups. They successfully predicted a superhydride operating near ambient conditions, specifically  $RbH_{12}$  (115 K, 50 GPa), identified with structure search and characterized by first principles calculations.

### 2.3. Models unveiling $T_c$ distribution of superhydrides

Based on the  $T_c$  data of several metal hydrides, Semenok et al. suggest [41,23] that most high- $T_c$  superconducting hydrides should be located in the ‘lability belt’ region, which lies at the border between  $s/p$  and  $s/d$  blocks in the periodic table, specifically in the proximity of Groups IIA and IIIB. This is possibly due to the anomalously strong  $epc$  for hydrides in which the metal atoms have minor energy differences between  $s$ -,  $p$ -, and  $d$ -orbitals under high pressure. The authors collected data on superhydrides from the literature. They employed a neural network model based on the maximum  $T_c$  values of the known hydrides to predict the maximum  $T_c$  for the hydrides of elements that lack data. Using the distribution of the maximum  $T_c$  of elements in the periodic table, they predicted several new superhydrides and rectified several previous results. An in-depth analysis of the data yields the following trends.

- (1) Most high- $T_c$  metal superhydrides are found within the ‘lability belt’: Sc-T-La-Ac for the  $d^1$  belt, Mg-Ca-Sr-Ba-Ra for the  $d^0$  belt, and Th for the  $s^2d^2$  belt. The physical phenomenon involved is

that the orbital populations in these elements are sensitive to the atomic environment, causing strong  $epc$ .

- (2) Hydrides attain maximum  $T_c$  when the average electron transfer between metal and hydrogen is approximately  $0.3 e^-/\text{atom}$ . The superhydrides with the highest  $T_c$  feature a DOS at the Fermi level of about 1 state/Ry/H-atom.
- (3) The superconducting properties notably diminish when  $d$ - and  $f$ -electrons in the hydrides increase. Notably, the  $T_c$  of lanthanide and actinide hydrides almost decrease monotonically as  $f$ -electrons increase.
- (4)  $XH_{10\pm 2}$  should be the optimal hydrogen content, which could be achieved at about 150–250 GPa. The superconducting properties decrease when the stoichiometry and the electron doping level deviate from this optimal value.
- (5) The stabilization pressure of hydrides decreases when moving down the periodic table. A pressure of 50 GPa is sufficient to stabilize superconducting actinide polyhydrides, such as  $UH_7$  and  $PaH_8$ .

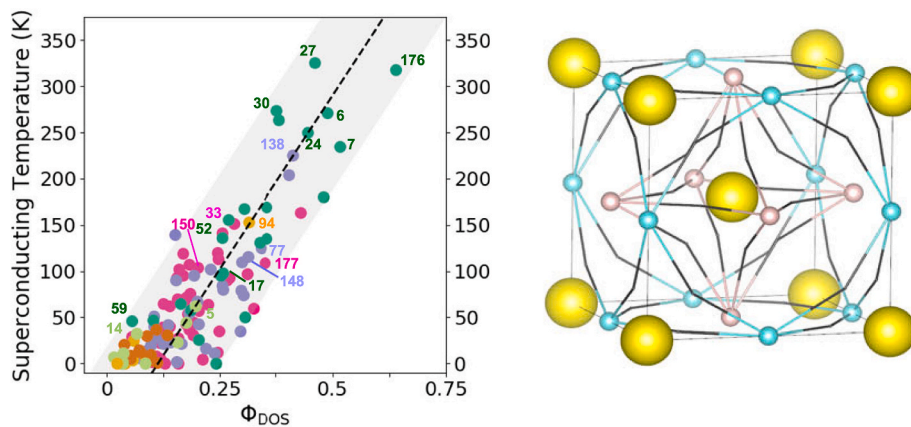
It is worth noting that these  $T_c$  and pressure trends are based on many calculated or experimentally measured data by the authors or in the literature, in addition to the data obtained in neural network models. Therefore, the conclusions regarding the distribution of superhydrides hold greater reliability than the results solely predicted by the ML models. Nonetheless, the work of Hutcheon et al. [25], in which the neural network models exclusively made predictions on the distribution of superhydrides, reveals similar trends in some respects. For example, in the work of Hutcheon et al. the  $T_c$  of hydrides for elements in the second and third groups are also relatively high. However, some notable discrepancies exist in their ML predictions, such as the underestimated  $T_c$  of about 100 K for Y, La, and Th hydrides, even when the data are included in the training set. These errors are considerably high relative to the RMSE of 33.7 K for its entire dataset, which might be due to the inefficient descriptors chosen to train the models.

### 2.4. New descriptors

Two subsequent research works introduced advanced descriptors to train ML models for superhydrides. First, in 2021, Belli et al. developed an electron structure descriptor, networking value [26], based on the electron localization function (ELF) [42]. Networking value  $\varphi$  is defined as the highest value of the ELF that creates an isosurface in the whole crystal, which is used to design a descriptor,

$$\Phi_{\text{DOS}} = \varphi H_f \sqrt[3]{H_{\text{DOS}}} \quad (6)$$

Here  $H_f$  is the hydrogen fraction of the compound, and  $H_{\text{DOS}}$  is the hydrogen fraction of the total DOS at the Fermi level. By analyzing more than a hundred predicted hydrogen-based superconductors, the authors determine a strong correlation between the  $T_c$  and  $\Phi_{\text{DOS}}$  (Fig. 3, left). Therefore, creating an electronic bonding network between localized units is critical to enhancing the  $T_c$  in superhydrides. Networking value combined with the hydrogen fraction in a superhydride and hydrogen contribution to the DOS at the Fermi level can predict the  $T_c$  within an accuracy of about 60 K. To calculate  $\Phi_{\text{DOS}}$ , ELF is first calculated, a real-space function based on electron density. ELF is 1 when electrons are perfectly localized and 0.5 for homogeneous electron gas. Hence, bonds, cores, and lone pairs of electrons would feature ELF maxima. The ELF value between the regions of ELF maxima describes the degree of delocalization, indicating how easily electrons can transfer between localized units. Following the calculation of ELF, the maximum ELF value ( $\varphi$ ) that enables ELF saddle points and the atoms to form a 3D network spanning through the crystal is determined (Fig. 3, right). It should be noted that this descriptor can be directly obtained through electronic structure calculation and, therefore, is considerably cost-effective compared to the  $a^2F(\omega)$ . It could be used for the high-



**Fig. 3.** Networking values. Left: the correlation between  $T_c$  and descriptor  $\Phi_{\text{DOS}}$  based on the networking value  $\varphi$  for more than a hundred predicted binary hydrides. The dotted line represents a fit ( $T_c = 750\Phi_{\text{DOS}} - 85$ ) K. Right: Three-dimensional network formed by the ELF saddle points (black turns) and the atoms (large and small spheres) for  $\text{H}_3\text{S}$  with a networking value  $\varphi$  of 138. Reprinted (figure) with permission from Ref. [26] under the terms of the Creative Commons Attribution 4.0 International License Copyright (2021) The Author(s). Published by Springer Nature.

throughput search of superhydrides. On the other hand, these quantities are electron-structure specific, making them more relevant to  $T_c$  than simpler material fingerprints like space groups and hydrogen fractions. Effective input descriptors play a crucial role in enhancing the performance of numerical models.

Initially used for transition metal superconductors, the multiple scattering-based Gaspari-Gyorffy (GG) theory [43] has recently been extended to study metal hydrides under high pressure [27,44,45]. In 2021, Shipley et al. realized the high-throughput discovery of binary superhydrides by designing one  $T_c$  model based on Gaspari-Gyorffy estimates of  $epc$  [27]. As shown by McMillan [37], the  $epc$  constant  $\lambda$  could be written in a simplified form,

$$\lambda = \frac{N(E_F)\langle I^2 \rangle}{M\langle \omega^2 \rangle}, \quad (7)$$

where  $\langle I^2 \rangle$  is the Fermi surface averaged  $ep$  matrix element and  $\langle \omega^2 \rangle$  is the mean squared phonon frequency.  $N(E_F)$  is the total DOS at the Fermi level. Considering  $epc$  for element-specific contributions, Eq. (7) is written as,

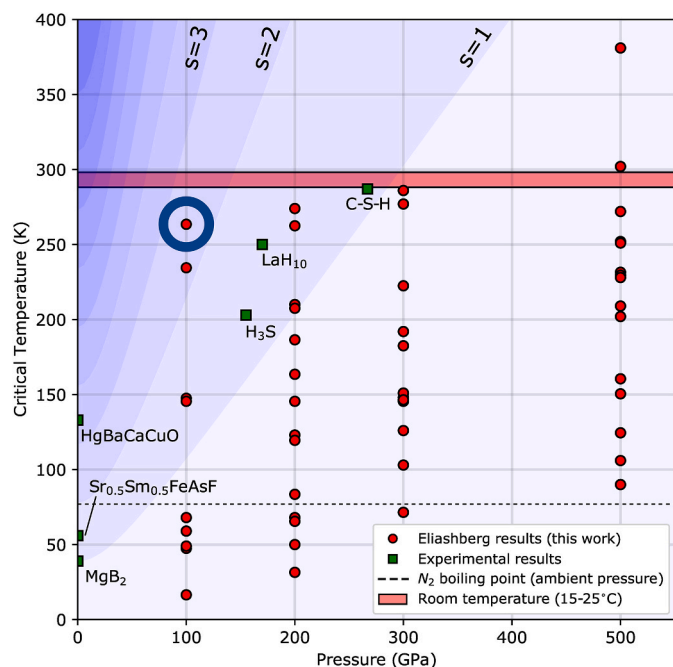
$$\lambda = \sum_j \frac{\eta_j}{M_j\langle \omega_j^2 \rangle}. \quad (8)$$

Here, the vibrational modes are divided by different atomic characteristics, where  $j$  specifies atom type and  $\eta_j$  is the Gaspari-Gyorffy  $epc$  estimate or Hopfield parameter. Gaspari-Gyorffy theory [43] estimates  $\eta_j$  using quantities available from self-consistent DOS calculations, avoiding expensive  $\langle I^2 \rangle$  calculations. With the calculated  $\langle \omega_j^2 \rangle$  and  $\eta_j$ ,  $\lambda$  is approximated, and  $T_c$  can be determined using the McMillan equation and its variants. However, the calculation of  $\langle \omega^2 \rangle$  still requires computationally demanding phonon calculations. Consequently, Shipley et al. trained a model to directly utilize  $\eta_j$  calculated using the Gaspari-Gyorffy theory and other DFT descriptors to predict  $T_c$  [27]. The model developed in this work is a Gaussian process regression model for binary superhydride  $\text{X}_n\text{H}_m$ , with input descriptors including  $\eta_{\text{H}}$ ,  $\eta_{\text{X}}$ ,  $M_{\text{X}}$ ,  $N(E_F)$ , and  $N_{\text{H}}(E_F)/N(E_F)$  ratio.

The methodology for conducting high throughput superhydride discovery is as follows: First, the authors calculated the properties of 160 literature structures and trained the initial ML model. Then, structure search calculations are performed to identify binary hydrides across the periodic table under a certain pressure (ranging from 10 GPa to 500 GPa). Structures on and just above the convex hulls are selected, and their descriptor properties are computed to predict the  $T_c$  using the model. Next,  $epc$  calculations are performed on the best-selected structures to obtain accurate  $T_c$ . Occasionally, calculations are extended to

middle- or low-performance structures to augment the training set with ‘low-performance data’ to improve the model behavior. These results are fed back to the training set to retrain the model. The same structure search process is performed at all pressures of interest, through which the model is improved iteratively. Finally, the training set is augmented by 119 new data points, on which the final machine-learning model is trained. It should be noted that in these training iterations, only dynamically stable structures are selected to augment the training set. All search structures considered previously are recalculated with this final model again to avoid missing anything of interest. Based on the  $T_c$  results obtained during the training iterations, more focused structure searches are performed on promising systems. Predicted structures are screened by convex hull analysis and  $T_c$  model screening. The systems with the highest predicted  $T_c$  values (including those found in training iterations) are subject to fully converged  $epc$  calculations to obtain accurate  $T_c$ . It must be noted that, in this work, dynamically unstable structures are also subjected to analysis to a certain extent.

This work successfully uncovered several new superhydrides with good performance characteristics. In total, 36 dynamically stable superhydrides with  $T_c$  above 100 K were identified, and 27 were new. Also, 18 of the 36 superhydrides have  $T_c$  values above 200 K. In particular,  $\text{NaH}_6$  is calculated to have a  $T_c$  of 248–279 K under the pressure of 100 GPa. The superconductivity of this system has been overlooked before, although sodium polyhydrides have been synthesized experimentally [46]. The prediction of  $\text{NaH}_6$  (Fig. 4) pushed the high  $T_c$  – low synthesis pressure limit and provided new insight into the superconductivity in superhydrides. Shipley et al. [27] achieve a high-throughput discovery of superhydrides, employing both ML screening and high-throughput  $epc$  calculations to yield an efficient superconductor search. The success is based on several aspects: First, the authors implemented optimizations to accelerate the calculation of  $epc$  by nearly an order of magnitude. This enables the training set to expand with more first principles data iteratively and increases the model’s performance. Secondly, the double screening procedure, convex hull and  $T_c$ -model screening significantly reduce the calculation cost and increase the possibility of identifying superhydrides with better performance. In addition, dynamical stability is also used to help select the candidate structures. Third, a pivotal reason is that most of the descriptors are directly based on electronic structure, which helps increase the relevance between the descriptors and  $T_c$ . In particular, Gaspari-Gyorffy  $epc$  estimates and atomic masses directly relate to  $T_c$  through the McMillan equation. Lastly, low-performance data are not entirely discarded, further improving the model’s performance.



**Fig. 4.** Pressure distribution of  $T_c$  calculated by Eliashberg theory for the most promising superhydrides according to the ML screening (red dots), alongside experimental results for specific superconductors (green squares). The background is shaded according to the figure of merit  $S$ . The red dot in the blue circle is  $\text{NaH}_6$ . Note that the experimental data for C-S-H hydride has been retracted due to the questions raised regarding how the data have been processed and analyzed. Reprinted (figure) with permission from Ref. [27] and modified, Copyright (2021) by the American Physical Society. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

### 2.5. Structural features

Compared to the limited dataset, i.e., a few hundred entries utilized in ML models for *superhydride* predictions, the dataset for modelling  $T_c$  of *other types of superconductors* (where the databases are available) could encompass thousands of entries, yielding better-performed models. In 2022, Zhang et al. employed structural descriptors based on the smooth overlap of atomic positions (SOAP) [47] to train a  $T_c$  model using a dataset comprising 5713 superconductors [30]. To construct the dataset, the authors extracted the structure information from the ICSD database [48] and superconductivity-specific information from the SuperCon database [49]. In the dataset, 2089 entries are cuprate ceramics, 762 are iron-based superconductors, and 2862 mainly consist of conventional superconductors. Three distinct types of ML models were trained [50], including random forest regression (RFR), xgboost regression (XGBR), and support vector regression (SVR), among which the RFR model shows the best coefficient of determination ( $R^2$ ) of 0.929, while the XGBR model exhibits the best RMSE of 7.54 K. The authors also developed ML models employing only ‘elemental descriptors’ derived from elemental property statistics without crystal structure information. Consequently, the models without crystal structure information yielded the best  $R^2$  of 0.895 and the best RMSE of 8.94 K. This result demonstrates that utilizing descriptors based on crystal structure information, such as SOAP, weighted atom-centered symmetry functions (wACSFs) [51,52], and bispectrum coefficient descriptors [53,54], might serve to increase the performance of the ML models. On the other hand, considering the large dataset used (5713 structures), it is unsurprising that this model achieved a lower RMSE of 7.54 K compared to the RMSE of  $\sim 30$  K in superhydride models built upon the datasets of several hundred entries. This serves as a reminder that further increasing the performance of superhydride models requires a large dataset by

implementing more efficient high-throughput superconductivity calculations.

Other than exploiting the structural descriptors, another important consideration is about the target predicted quantities that most correlate with structure features. In 2022, Choudhary et al. developed a workflow to find conventional superconductors through pre-screening of 1736 materials with high Debye temperature  $\Theta_D$  and electronic density of states at Fermi-level  $N(E_f)$ , and first principles *ep* calculations for 1058 entries of them [28]. Remarkably, they achieved a cost-effective, high-throughput *ep* calculation approach by extensive benchmark testing and convergence checks. With this method, they established a large and systematic database of BCS superconducting properties and identified 105 dynamically stable materials with  $T_c \geq 5$  K. To accelerate these screening processes, they developed ML models to predict  $N(E_f)$ ,  $\Theta_D$ , *epc* properties ( $\alpha^2F(\omega)$ ,  $\omega_{\log}$ , and  $\lambda$ ), and  $T_c$ . For *epc* and  $T_c$  models, they used two methods: the atomistic line graph neural network (ALIGNN) [55] and classical force-field descriptor (CFID) [56]. These two methods, respectively, consider crystal structural features in the form of graphs and (numeric) descriptors. They found that while  $\omega_{\log}$  could be well predicted using the crystal structure,  $\lambda$  and  $T_c$  are more challenging to predict. However, the performance could be improved by predicting the Eliashberg function  $\alpha^2F(\omega)$  as intermediate quantities to calculate  $T_c$  through McMillan-Allen-Dynes type equations. Specifically, the mean absolute error (MAE) of predicting a  $T_c$  directly from structures would be more significant than that in calculating the  $T_c$  from predicted  $\omega_{\log}$  and  $\lambda$ . The MAEs of these two models would be larger than that in calculating  $T_c$  from predicted  $\alpha^2F(\omega)$ . This implies that learning more basic and informative *epc* quantities such as  $\alpha^2F(\omega)$  could perform better than direct predictions of the integrated quantity  $T_c$ . It should be noted that although the workflow could be applied to high-pressure superconductivity research, the authors only analyzed systems under atmospheric pressure in this work.

In 2023, Tran et al. utilized structural descriptors with the invariance of transformation to train a Gaussian process regression model on a dataset of 584 atomic structures [22]. Unlike the previous work [28], which lacks studies of high-pressure superconductors, the dataset contains structures under various pressures, in which 505 entries contain hydrogen. With the same concept as the previous work, this model aims to predict  $\lambda$  and  $\omega_{\log}$  directly from atomic structures, which speeds up the *ep* superconductor screening by skipping the *ep* scattering calculation through DFPT. With predicted  $\lambda$  and  $\omega_{\log}$ ,  $T_c$  could then be obtained using the McMillan formula. Screened by this model and validated by first principles calculation, the authors predicted two possible superhydrides at ambient pressure:  $\text{CrH}$  (15.7 K) and  $\text{CrH}_2$  (10.7 K). This model benefits from the direct and physics-inspired correlation between atomic structures and *ep* properties, thus being accurate and robust for structures under different pressures. This enables the model to be applicable in screening large atomic-structural databases and on-the-fly structure searches.

### 2.6. Machine learning in crystal structure prediction (CSP)

Other than helping develop quantitative structure-property relationships (QSPR) for  $T_c$ , ML schemes also significantly contribute to CSP, thereby accelerating the exploration of superhydrides. Modern CSP plays a critical role in determining the unknown structures for the stoichiometry of materials with little to no experimental inputs [57–59]. Commonly used CSP algorithms locate ground-state structures using various strategies: particle swarm optimization (PSO) explores the energy landscape with flock intelligence [60,61], genetic algorithms [62–66] improve structure population by propagating beneficial traits, random search [67,68] samples the structural space in an unbiased but sensible fashion, and metadynamics [69] explores the energy landscape by accelerating the occurrence of rare events. As the exploration for superhydrides is extended from binary to ternary and higher superhydrides, the challenges to perform CSP significantly escalate due to the

explosive increase of the combinational possibilities [70]. There can be the same number of binary hydrides for the 83 non-radioactive elements in the periodic table, but the ternary and quaternary hydride combinations are 6723 and  $5.5 \times 10^5$ , respectively. Moreover, each hydride combination can have various stoichiometries and metastable states. While the ground state is typically required for superhydrides, there are instances where metastable states exhibit very high  $T_c$  and, therefore, must be considered [71–73]. With this in mind, efficient CSP searches need to be developed.

ML addresses this problem with two strategies: building reliable yet computationally inexpensive machine learning interatomic potentials (MLIP) and constructing effective ML generative models for crystal structures. MLIPs have been combined with mainstream CSP algorithms to accelerate the search for stable structures on the potential energy surface (PES) that are beyond the reach of DFT-based calculations [32, 74–79]. The structural descriptors are central to the construction of MLIPs. In 2007, Behler and Parrinello proposed the first successful descriptor based on atom-centered symmetry functions invariant to rotation, translation, and atom swap [80]. Commonly used descriptors in MLIP include bispectrum [53,81], SOAP [47], weighted symmetry functions [51], and many others, providing multiple options for balancing accuracy and speed.

Recently, MLIPs were employed to study a ternary hydride system, Lu-H-N. In 2023, an experimental team claimed the achievement of near-ambient-pressure room temperature superconductivity in nitrogen-doped Lu-H hydrides. This claim was immediately met with skepticism regarding the reliability of data [82]. Interestingly, the discovery of ‘ambient superconductivity’ contradicted most theoretical studies [83–85]. Particularly, Ferreira et al. conducted a comprehensive analysis of the phase diagram of the Lu-N-H superhydrides, looking for superconducting phases using ephemeral data-derived potentials (EDDP) accelerated CSP [32]. The authors sampled over 200,000 different hydride combinations of Lu-N-H and identified 52 possible candidates for conventional superconductors. However, whether doped with N or not, the computed  $T_c$ 's for Lu hydrides are not remotely compatible with room temperature. At the time of writing, the experimental report of ‘ambient superconductivity’ in Lu-H-N has been retracted. The MLIPs accelerated CSP had played an essential role in the community effort, confirming that no room-temperature conventional superconductivity is expected in this compound.

### 2.7. Machine learning in the calculation of quantum nuclear and anharmonic effects

Quantum nuclear and anharmonic effects are significant in superhydrides because of the small mass of hydrogen atoms. The energy landscape can undergo substantial changes under these effects. For instance, the high-symmetry structures of  $H_3S$  and  $LaH_{10}$  are stable once the quantum nuclear and anharmonic effects are accounted for [86,87]. Otherwise, they would distort to low symmetry structures in standard DFT calculations and yield much lower  $T_c$ . Generally, the stochastic self-consistent harmonic approximation (SSCHA) framework performs calculations considering both quantum nuclear and anharmonic effects [88]. ML also plays a role in assisting with the SSCHA calculation. In 2023, Lucrezi et al. combined highly accurate MLPs with SSCHA techniques to achieve careful convergence and acceleration in SSCHA calculations [31]. Based on compressive sensing (an ML algorithm), compressive sensing lattice dynamics (CSLD) methods can also be used in anharmonic phonon renormalization [89,90]. Recently, Kruglov et al. developed a solution for finite temperature evolutionary CSP through quick anharmonic free energy calculations using canonical ensemble (NVT) molecular dynamics with ML potentials [91]. The ML potential is constructed by on-the-fly training Moment Tensor Potentials [92] and corrected using thermodynamic perturbation theory to the *ab initio* level.

### 3. Existing problems and future perspectives

First and foremost, the CSP methods based on structural optimizations usually conduct the search under Born Oppenheimer approximation at  $T = 0$  K to avoid expensive entropy and quantum nuclear calculations. This might not have sufficient accuracy for the hydrogen-rich materials where quantum-nuclear and anharmonic effects must be accounted for. For example, structural stabilities or superconducting properties are reported to be significantly affected by quantum-nuclear and anharmonic effects in the superhydrides under pressure [3,87,93,94]. However, it is computationally highly challenging to calculate energy corrections of quantum-nuclear and anharmonic effects in CSP on the fly. Addressing the PES's metastable and dynamically unstable structures becomes essential considering this situation. The saddle points on the PES within the Born-Oppenheimer approximation are dynamically unstable structures. In many cases, dynamically unstable structures could be stabilized by anharmonic or thermal effects, as observed in several superhydrides [3,71–73,87,94]. These structures can be located using saddle-point *ab initio* random structure searching methods (sp-AIRSS) [95]. Although filtering out and properly treating these dynamically unstable structures is expensive, solving this problem in future high-throughput schemes remains crucial.

Furthermore, finding relatively cost-effective descriptors more relevant to superconducting critical temperature  $T_c$  is important. Appropriate descriptors can directly influence the performance of machine learning QSPR models that predict superconducting properties. The *epc* matrices directly correspond to the  $T_c$  of conventional superconductors, yet they are computationally challenging to calculate. Newly developed networking values [26] are relevant to  $T_c$  and are solely based on electronic calculation, significantly more cost-effective than *epc* calculations based on DFPT. It could be possible to derive alternate descriptors with better performance directly from simple DFT results. Meanwhile, testing the performance of different descriptors could also help to understand the physics pictures behind the superconductivity in various types of superconductors [39].

In addition, we may consider designing ML schemes for superconductor discovery in a modularized way. As shown in Fig. 1, ML could be used in different processes across four nodes, including chemical composition and pressure, atomic structures, *epc* parameters, and  $T_c$ . Building type iii models, i.e., from chemical composition directly to  $T_c$ , may not be accurate because it skips essential information in the intermediate steps and, therefore, has a low correlation. A few practical schemes with intermediate steps are listed in Table 1 and focus on type ii, type iv, and type v models, which exhibit improved performance. Furthermore, models of type iv are reported to perform worse than type v connected with type ii using the same training dataset [28]. This implies that we may achieve higher performance and accuracy by training models of different sub-processes and connecting them.

The scale and quality of the superhydride database need to be further increased. Currently, most machine-learning QSPR models for superhydrides are based on datasets limited to hundreds of samples [22,27]. While it must be acknowledged that the computational and experimental costs of finding new superhydrides to supplement datasets are high, there are still some valuable thoughts. First, it is essential to construct databases specific to superhydrides, including experimental and computational superconductor properties. There are good databases for general superconductors like SuperCon [49], but to the authors' knowledge, few databases specific to superhydrides or other high-pressure superconductors exist. An example of such a database is the CALYPSO Database [96], which includes nearly one hundred entries of critical temperature and structures of superhydrides and other high-pressure superconductors. Second, to further supplement databases for superhydrides, it may be beneficial to use ML techniques to extract information from the literature [20]. Third, it is crucial to encourage the scientific community to share additional data about their work, including ‘negative results’ with low  $T_c$  or unstable structures. Not

only will this increase the scale of databases, but it also helps to increase the sampling diversity of the training datasets. The samples with low superconducting performance can also improve the accuracy of ML models, and certain unstable results might be stabilized after considering quantum-nuclear and anharmonic effects. Lastly, due to the difficulty in conducting high-throughput experiments for high-pressure systems, optimizing further and designing more efficient high-throughput superconducting calculation methods to generate abundant data becomes essential. With more extensive and diverse datasets, the performance of QSPR models can be further improved.

Finally, it is helpful to implement different ML algorithms for specific problems. Models based on various algorithms exhibit different performances when applied to different problems. Prioritizing and optimizing the algorithms that show high accuracy are desirable. The development and testing of the new algorithms are anticipated in the future.

### CRedit authorship contribution statement

**Zihao Bai:** Writing – original draft, Investigation, Conceptualization, Writing – review & editing, Visualization. **Mangladeep Bhullar:** Writing – review & editing, Investigation. **Akinwumi Akinpelu:** Writing – review & editing, Investigation. **Yansun Yao:** Writing – review & editing, Validation, Supervision, Resources, Project administration, Investigation, Funding acquisition, Conceptualization.

### Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Yansun Yao reports financial support was provided by Natural Sciences and Engineering Research Council of Canada. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

No data was used for the research described in the article.

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